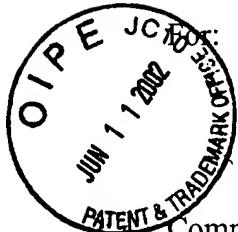


IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

#5

In re Application of: : Atty. Docket: CH920000013US1
James R. Riordan : Confirmation No.: 3810
Serial No.: 09/808,530 : Art Unit: 2131
Filed: March 14, 2001 : Examiner:



: SECURE PASSWORD ENTRY

Commissioner for Patents
Washington, D.C. 20231

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Technology Center 2100

Sir:

For insuring compliance with the applicant's duty of disclosure under 37 CFR § 1.56, the undersigned hereby submits the documents listed on the attached Form PTO-1449 for consideration by the Examiner in charge of the above-identified patent application.

The listing of these materials is not to be construed as an admission that such is actually prior art with respect to the invention of the present application and is not to be construed that such is material with respect to the present invention.

These documents are being submitted (check only one of the next four boxes):

- within three months of the filing of the above U.S. national application or of the date of entry of the U.S. national stage in an International Patent Application (no fee is due);
- before receiving a first Office Action on the merits of the above-identified patent application (no fee is due);
- following receipt of a first Office Action, but before issuance of a Final Office Action or a Notice of Allowance (if this Box is checked, the fee box and one of the last two boxes also must be checked);

OR

- following receipt of a Notice of Allowance or a Final Office Action (if this box is checked, the next box and one of the last two boxes also must be checked).

Serial Number: 09/808,530

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

FEE

[] Charge our Deposit Account No. 09-0468 the amount of \$180.00, in payment of the fee set forth in 37 CFR § 1.17(p).

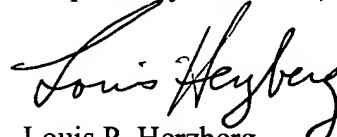
CERTIFICATION

[] The undersigned certifies that each item of this information is being submitted within three months of the date it was first cited in any communication from a foreign patent office in a counterpart foreign application.

[] The undersigned certifies that no item of information contained in the information disclosure statement was cited in a communication from a foreign patent office in a counterpart foreign application, and, to the knowledge of the undersigned, after making reasonable inquiry, no item of information contained in the information disclosure statement was known to any individual designated in § 1.56(c) more than three months prior to the filing of this information disclosure statement.

The Director is hereby authorized to charge payment of any deficiency in the above fee(s) or to charge any additional fees required under 37 CFR § 1.16 or 1.17 or credit any overpayment to Deposit Account No. 09-0468. A duplicate copy of this authorization is attached for the Finance Branch.

Respectfully submitted,


Louis P. Herzberg
IBM Corp. IP Law Dept.
T.J. Watson Research Ctr.
P.O. Box 218
Yorktown Heights, NY 10598
Telephone: 914-945-2885

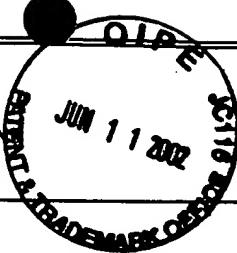
Date: June 5, 2002

Serial Number: 09/808,530

FORM PTO-1449 (Modified)

LIST OF PATENTS AND PUBLICATIONS
FOR APPLICANT'S INFORMATION
DISCLOSURE STATEMENT

(Use several sheets if necessary)

ATTY. DOCKET NO.
CH920000013US1SERIAL NO.
09/808,530

APPLICANT: James Riordan

FILING DATE:
March 14, 2001

GROUP: 2131

COPY OF PAPERS
ORIGINALLY FILED

REFERENCE DESIGNATION

U.S. PATENT DOCUMENTS

EXAMINER INITIALS		DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE (IF APPRO.)
	AA						
	AB						
	AC						
	AD						
	AE						JUN 14 2002
	AF						Technology Center 2100
	AG						
	AH						
	AI						
	AJ						
	AK						

FOREIGN PATENT DOCUMENTS

		DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUBCLASS	TRANSLATION	
							YES	NO
	AL	DE 40 08 971 A1	20.3.90	German				
	AM	WO 99/44133	25.02.99	PCT				
	AN							
	AO							
	AP							

OTHER ART (Including Author, Title, Date, Pertinent Pages, etc.)

AQ	
AR	
AS	
AT	
AU	

EXAMINER

DATE CONSIDERED